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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.

R0089C-REG

First Inventor

Lopez-Tapia, et al.

Title

ALKOXYCARBONYLAMINO BENZOIC ACID
OR ALKOXYCARBONYLAMINO TETRAZOLYL
PHENYL DERIVATIVES AS IP ANTAGONISTS

Express Mail Label No.

ET648009376US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **72**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets **2**]
5. Oath or Declaration [Total Pages **2**]
a. ☒ Newly executed (copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ /

Prior application information: Examiner _____

Group / Art Unit: _____

● Claim for Benefit of Provisional Application(s):

This application claims the benefit under Title 35 U.S.C. 119(e) of U.S. Provisional Applications No. 60/272,872, filed March 2, 2001, and No. 60/312,559, filed August 15, 2001.

17. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

(In)

or ☐ Correspondence address below

Name	Rohan Peries				
	ROCHE BIOSCIENCE				
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Name (Print/Type)	MONA ANAND	Registration No. (Attorney/Agent)	34,537
Signature		Date	2/27/02

SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Doc. # 116675

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number

New Application

Filing Date

First Named Inventor

Lopez-Tapia, Francisco Javier et al.

Examiner Name

Group / Art Unit

TOTAL AMOUNT OF PAYMENT (\$)

\$1064.00

Attorney Docket No.

R0089C-REG

METHOD OF PAYMENT (check one)

1. ☒

The Commissioner is hereby authorized to charge indicated fees, to charge any additional fees that may be required, or to credit any overpayments to:

Deposit
Account
Number

18-1700

Deposit
Account
NameRoche Bioscience
3401 Hillview Avenue
Palo Alto, CA 94304☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status.
See 37 CFR 1.272. ☐ Payment Enclosed:☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740			Utility filing fee	740.00
106	330			Design filing fee	
107	510			Plant filing fee	
108	740			Reissue filing fee	
114	160			Provisional filing fee	

SUBTOTAL (1)

(\$ 740)

2. EXTRA CLAIM FEES

Total Claims 38 -20 ** = 18 X 18 = 324

Independent Claims 1 -3 ** = 0 X 84 = 0

Multiple Dependent X = 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18			Claims in excess of 20
102	84			Independent claims in excess of 3
104	280			Multiple dependent claim, if not paid
109	84			** Reissue independent claims over original patent
110	18			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 324.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130		Surcharge - late filing fee or oath	
127	50		Surcharge - late provisional filing fee or cover sheet.	
139	130		Non-English specification	
147	2,520		For filing a request for reexamination	
112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*		Requesting publication of SIR after Examiner action	
115	110		Extension for reply within first month	
116	400		Extension for reply within second month	
117	920		Extension for reply within third month	
118	1,440		Extension for reply within fourth month	
128	1,960		Extension for reply within fifth month	
119	320		Notice of Appeal	
120	320		Filing a brief in support of an appeal	
121	280		Request for oral hearing	
138	1,510		Petition to institute a public use proceeding	
140	110		Petition to revive - unavoidable	
141	1,280		Petition to revive - unintentional	
142	1,280		Utility issue fee (or reissue)	
143	460		Design issue fee	
144	620		Plant issue fee	
122	130		Petitions to the Commissioner	
123	50		Processing fee under 37 CFR 1.17 (q)	
126	180		Submission of Information Disclosure Stmt	
581	40		Recording each patent assignment per property (times number of properties)	
146	740		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740		For each additional invention to be examined (37 CFR § 1.129(b))	
179	740		Request for Continued Examination (RCE)	
169	900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

MONA ANAND

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34,537

Telephone

650/ 855-6995

Signature

Mona Anand

Date

2/27/02